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PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL LETTER TO THE UNITED STATES

ATTORNEY'S DOCKET NUMBER 2003P09398WOUS

CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLICA CLUIG. (I Known Sec. 7 FAV.5)	
			NOT YET ASSIGNED	
INTERNATIONAL APPLICATION NO.		INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED	
PCT/EP2004/007945 July 16, 2004 August 13, 2003				
TITLE OF INVENTION METHOD FOR BRAKING A ROTOR OF A TURBINE ENGINE AND A TURNING GEAR FOR DRIVING THE ROTOR OF A TURBINE ENGINE				
APPLICANT(S) FOR DO/EO/US				
Antje Noack				
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:				
1.	X This is a FIRST submission of items co	This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.		
2.	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.			
3.	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.			
4.	X The US has been elected (Article 31).	The US has been elected (Article 31).		
5.	X A copy of the International Application	A copy of the International Application as filed (35 U.S.C. 371(c)(2))		
	a. X is attached hereto (required only if not communicated by the International Bureau).			
	b. has been communicated	b has been communicated by the International Bureau.		
	c. is not required, as the ap	c. is not required, as the application was filed in the United States Receiving Office (RO/US).		
6.	6. X An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).			
	a. X is attached hereto.			
	b. has been previously submitted under 35 U.S.C. 154(d)(4).			
7.	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))			
	a. are attached hereto (required only if not communicated by the International Bureau).			
	b. have been communicate	b. have been communicated by the International Bureau.		
	c. have not been made; ho	c. have not been made; however, the time limit for making such amendments has NOT expired.		
	d. have not been made and	d will not be made.		
8.	An English language translation of the	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).		
9.	X An oath or declaration of the inventor(s	An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)) UNSIGNED		
10.	X An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).	annexes of the International Preliminary Examination	on Report under PCT	
Iten	ns 11 to 20 below concern document(s) or inf	ormation included:		
11.	X An Information Disclosure Statement u	nder 37 CFR 1.97 and 1.98.		
12.	An assignment document for recording	. A separate cover sheet in compliance with 37 CF	R 3.28 and 3.31 is included.	
13.	X A preliminary amendment.	A preliminary amendment.		
14.	An Application Data Sheet under 37 Cl	An Application Data Sheet under 37 CFR 1.76.		
15.	X A substitute specification.	A substitute specification.		
16.	A power of attorney and/or change of a	A power of attorney and/or change of address letter.		
17.	A computer-readable form of the seque	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825.		
18.	A second copy of the published Interna	A second copy of the published International Application 35 U.S.C. 154(d)(4).		
19.	A second copy of the English language	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).		
20.	20. X Other items or information: (a) RETURN RECEIPT POSTCARD; (b) A POWER OF ATTORNEY IS INCLUDED IN DECLARATION; (c) MARKED UP VERSION OF SUBSTITUTE SPECIFICATION; (d) COVER PAGE OF PCT APPLICATION PCT/EP2004/007945; (e) INTERNATIONAL PROVISIONAL REPORT ON PATENTABILITY ALONG WITH ENGLISH TRANSLATION.			

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This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, Including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICATION NO. (if known, sep 37-@FR 5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER 2003P09398WOUS PCT/EP2004/007945 The following fees are submitted: **CALCULATIONS** PTO USE ONLY Basic national fee......\$ 300 . 300.00 Χİ Examination fee \$ 200.00 If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) \$ 100 All other situations......\$ 200 X Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority...... \$ 100 \$ 400.00 All other situations...... \$ 500 \$ 900.00 **TOTAL OF 21, 22 AND 23 =** Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. **Total Sheets** Number of each additional 50 or fraction RATE Extra sheets thereof (round up to a whole number) -100 =/50 = \$250 Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest \$ claimed priority date (37 CFR 1.492(h)). \$ CLAIMS **NUMBER FILED NUMBER EXTRA** RATE **Total Claims** 16 - 20 = x \$ 50 \$ \$ 2 - 3 = x \$200 Independent claims \$ MULTIPLE DEPENDENT CLAIMS(S) (if applicable) + \$360 TOTAL OF ABOVE CALCULATIONS = Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. SUBTOTAL = 900.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). TOTAL NATIONAL FEE = Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = 900.00 Amount to be refunded: Amount to be charged: A check in the amount of \$ to cover the above fees is enclosed. 19-2179 Please charge my Deposit Account No. in the amount of \$ 900.00 to cover the above fees. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit . A duplicate copy of this sheet is enclosed. Account No. 19-2179 Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: Siemens Corporation **SIGNATURE Intellectual Property Department** 170 Wood Avenue South Iselin, NJ 08830 JOHN P. MUSONE February 9, 2006 732-321-3026 NAME 407-736-6449 44,961 REGISTRATION NUMBER